



**SNS COLLEGE OF TECHNOLOGY**

Coimbatore-35



**DEPARTMENT OF ARTIFICIAL INTELLIGENCE AND MACHINE  
LEARNING**

**23CST202- OPERATING SYSTEMS**

II YEAR AIML B IV SEM

**UNIT 2 – PROCESS SCHEDULING AND SYNCHRONIZATION**

**TOPIC – CPU SCHEDULING-SCHEDULING CRITERIA**

OPERATING SYSTEM/DR.KIRUBA M/APIT/SNSCT



# Objectives



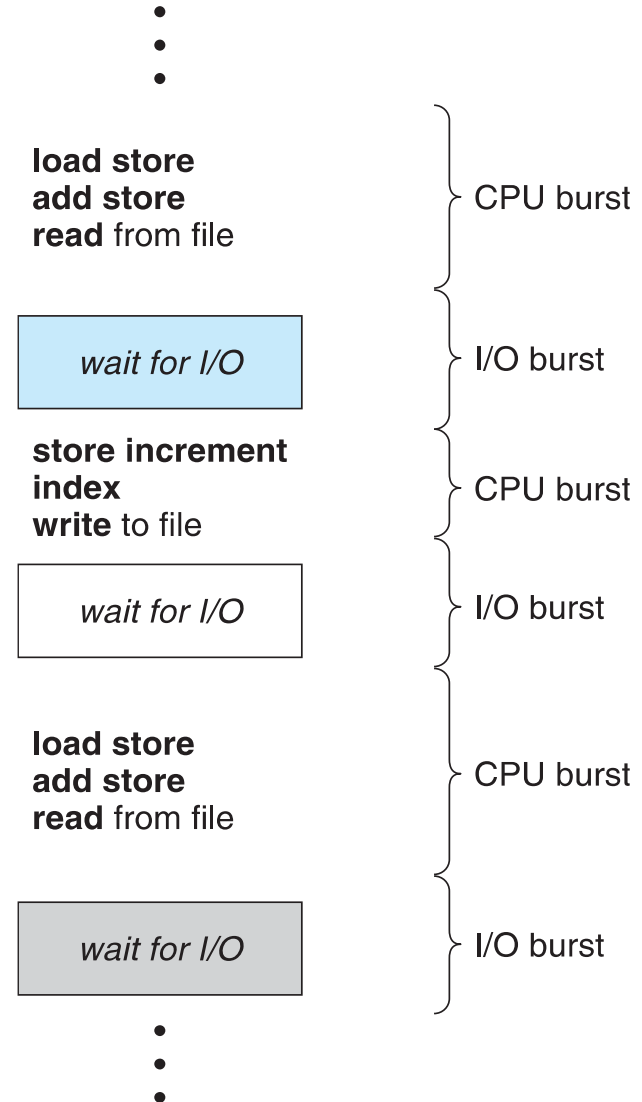
- To introduce CPU scheduling, which is the basis for multiprogrammed operating systems
- To describe various CPU-scheduling algorithms
- To discuss evaluation criteria for selecting a CPU-scheduling algorithm for a particular system



# Basic Concepts



- Scheduling is a fundamental operating system function.
- Maximum CPU utilization obtained with multiprogramming.
- The algorithm, the scheduler uses is called scheduling algorithm.
- Several processes are kept in memory at one time.
- CPU-I/O Burst Cycle – Process execution consists of a **cycle** of CPU execution and I/O wait
- **CPU burst** followed by **I/O burst**
- CPU burst distribution is of main concern





# CPU-Scheduling (with multiprogramming)



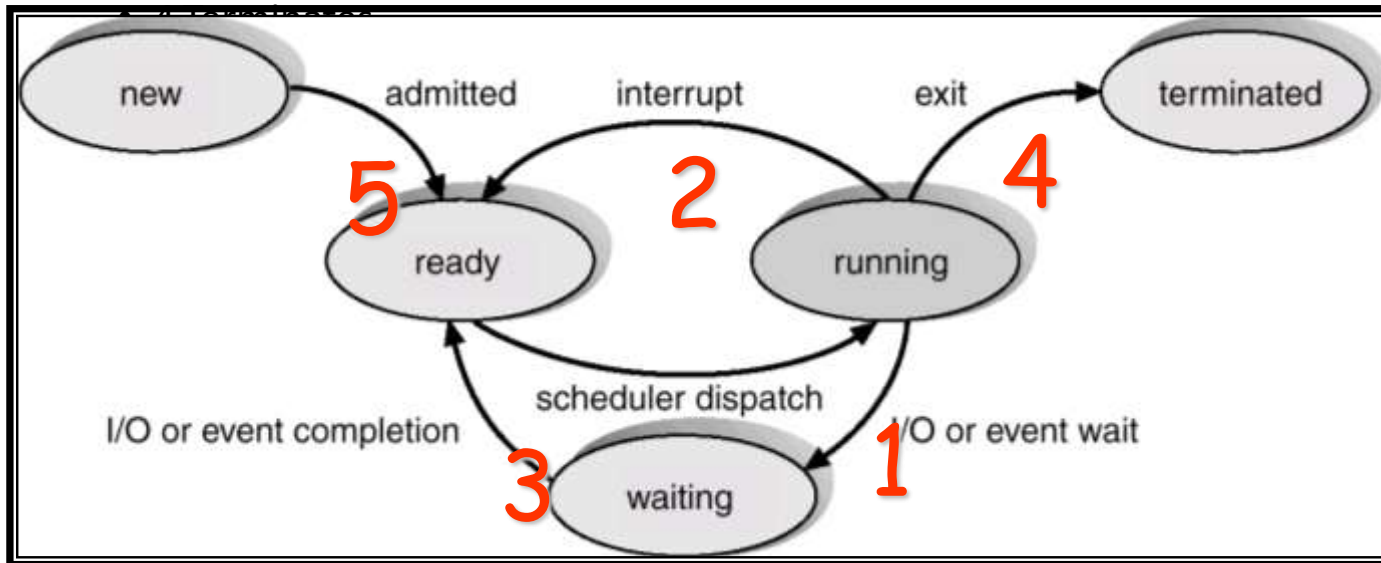
- In a **single processor system**, only one process can run at a time. Others must wait until CPU is free and can be rescheduled.
- Whenever the CPU becomes idle, the operating system must select one of the processes in the **ready queue** to be executed (the records in the queues are generally PCBs of the processes).
- The **short term scheduler** (or **CPU scheduler**- a part of OS) selects a process to get the processor (CPU) among the processes which are already in memory. (i.e. from the ready queue).
- Processor scheduling algorithms try to answer the following crucial question.
  - **Which process in the ready queue will get the processor?**
- In order to answer this, one should consider the relative importance of several **performance criteria**.



# CPU Scheduler

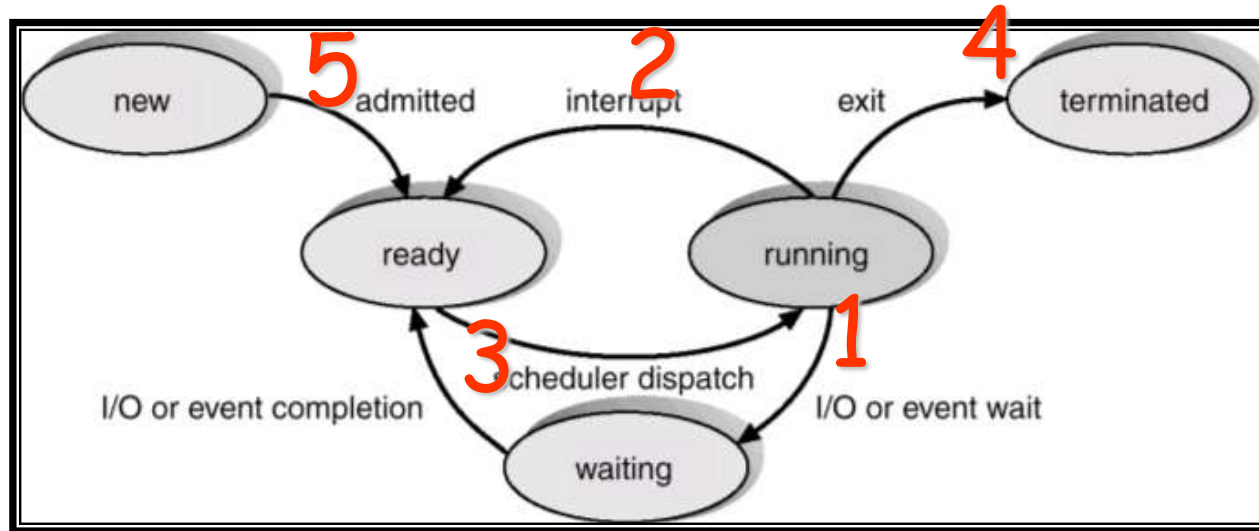


- **CPU scheduler** selects from the processes in memory that are ready to execute, and allocates the CPU to one of them.
- CPU scheduling decisions may take place when a process:
  - 1.Switches from running to waiting state.
  - 2.Switches from running to ready state.
  - 3.Switches from waiting to ready.





# Preemptive – Nonpreemptive Scheduling



Interrupt: Scheduler picks another process  
Scheduler dispatch: Scheduler picks this process to execute

- In (1) and (4), a **new process** must be selected from the ready queue.
- In (2), (3) and (5), **previously running process** or a **new process** may be selected.
- Scheduling algorithms that act only in circumstances (1) and (4) are called **nonpreemptive(or cooperative)**. Once CPU has been allocated to a process, that process keeps the CPU until it releases the CPU (either by termination or by requesting I/O). This scheduling method was used by Microsoft Windows 3.x.
- Otherwise it is **preemptive**. Windows 95 and all subsequent versions of Windows operating systems have used preemptive scheduling.



# Preemptive – Nonpreemptive Scheduling



- A scheduling algorithm which acts on all circumstances is called **preemptive**. (i.e. such an algorithm can select a new process in circumstances 2, 3 and 5).
- The CPU is allocated to the highest-priority process among all ready processes. The scheduler is called each time a process enters the ready state.
- Advantages of non-preemptive scheduling algorithms:
  - They cannot lead the system to a race condition.
  - They are simple.
- Disadvantage of non-preemptive scheduling algorithms:
  - They do not allow real multiprogramming.
- Advantage of preemptive scheduling algorithms:
  - They allow real multiprogramming.
- Disadvantages of preemptive scheduling algorithms:
  - They can lead the system to a race condition.



# Dispatcher



- **Dispatcher module** (invoked during every process switch) gives control of the CPU to the process selected by the short-term scheduler; this involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- **Dispatch latency** – time it takes for the dispatcher to stop one process and start another running.





# Scheduling Criteria



- **CPU utilization** - keep the CPU as busy as possible. It is defined as:  
$$\frac{\text{processor busy time}}{\text{processor busy time} + \text{processor idle time}}$$
- **Throughput** – # of processes that complete their execution per time unit.
- **Turnaround time** – The interval from the time of submission to the time of completion of a process.
- **Waiting time** – amount of time a process has been waiting in the ready queue (**waiting for I/O device is not counted**)
- **Response time** – amount of time it takes from when a request was submitted until the first response is produced, **not** output.



# Performance Criteria



- It is desirable that we,
  - **Maximize** CPU utilization
  - **Maximize** throughput
  - **Minimize** turnaround time
  - **Minimize** waiting time
  - **Minimize** response time